

EIOPA SOLVENCY
II SINGLE
RULEBOOK

USER GUIDE

Main elements and instructions to navigate the
content of the EIOPA Solvency II Single
Rulebook

EIOPA
31 July 2020



eiopa

European Insurance and
Occupational Pensions Authority

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INTRODUCTION

This document describes the end-user functionalities of the EIOPA Solvency II Single Rulebook. The purpose of the Single Rulebook is to ensure the consistent application of the regulatory framework for (re)insurance across the EU.

The Solvency II Rulebook is meant as a pilot for upcoming Rulebooks to be managed and published by EIOPA and is part of the requirements of the ESAs review.

The EIOPA Solvency II Single Rulebook provides easy access to the Solvency II regulatory framework, including Directive 138/2009/EC, Delegated Regulation (EC) n. 2015/35, relevant Regulatory and Implementing Technical Standards adopted by the European Commission, as well as EIOPA Guidelines, Opinions, Recommendations, Supervisory Statements and related Q&As, via EIOPA's website. The tool provides a copy of/reference to the relevant regulation currently in force published on the EurLex website (please see [here](#) for further information).

The purpose of the EIOPA Solvency II Single Rulebook is to ensure the consistent application of the regulatory framework for (re)insurance across the EU.

For the authentic version and most updated EU legislation users should refer to the Official Journal (and therefore [Eurlex](#)) of the European Union.

MAIN FUNCTIONALITIES

NAVIGATION

The Homepage of the rulebook lists the Table of Contents available with reference to Directive(s) and Delegated Regulation(s). As an example, for the Solvency II framework the following items are available (see Figure 1-8 below):

Table of Contents

Search

DIRECTIVE 138/2009/EC (SOLVENCY II DIRECTIVE)

DELEGATED REGULATION (EU) 2015/35

Figure 1-7: Table of Contents

Each one of the Tables available provides link to the page-by-page content of the regulation (see Figure 2-8 below):

DIRECTIVE 138/2009/EC
(SOLVENCY II DIRECTIVE)

Table of content

You can export articles in PDF by checked the related tickbox.

DIRECTIVE 138/2009/EC (SOLVENCY II DIRECTIVE)

TITLE I - GENERAL RULES ON THE TAKING-UP AND PURSUIT OF DIRECT INSURANCE AND REINSURANCE ACTIVITIES

CHAPTER I - Subject matter, scope and definitions

SECTION 1 - Subject matter and scope

Article 1 - Subject Matter

Article 2 - Scope

SECTION 2 - Exclusions from scope

Article 3 - Statutory systems

Article 4 - Exclusion from scope due to size

DELEGATED
REGULATION (EU)
2015/35

Table of content

You can export articles in PDF by checked the related tickbox.

DELEGATED REGULATION (EU) 2015/35

TITLE I - VALUATION AND RISK-BASED CAPITAL REQUIREMENTS (PILLAR I), ENHANCED GOVERNANCE (PILLAR II) AND INCREASED TRANSPARENCY (PILLAR III)

CHAPTER I - General Provisions

SECTION 2 - External Credit assessments

Article 1 - Association of credit assessments to credit quality steps

Article 4 - General requirements on the use of credit assessments

Article 5 - Issuers and issue credit assessment

Figure 2-8: Table of Contents (2)

Each item included in the table of contents leads to the page dedicated to the relevant article.

Page 3/10

Each Article of either the Directive(s) or Delegated Regulation(s) has its own dedicated page where a set of areas are identified (see Figure 3-8 below):

Information to be provided for supervisory purposes

PATH: **TITLE I > CHAPTER III**
ARTICLE NUMBER: **35**

1. Member States shall require insurance and reinsurance undertakings to submit to the supervisory authorities the information which is necessary for the purposes of supervision, taking into account the objectives of supervision laid down in Articles 27 and 28. Such information shall include at least the information necessary for the following when performing the process referred to in Article 36:

(a) to assess the system of governance applied by the undertakings, the business they are pursuing, the valuation principles applied for solvency purposes, the risks faced and the risk-management systems, and their capital structure, needs and management;

(b) to make any appropriate decisions resulting from the exercise of their supervisory rights and duties.

2. Member States shall ensure that the supervisory authorities have the following powers:

(a) to determine the nature, the scope and the format of the information referred to in paragraph 1 which they require insurance and reinsurance undertakings to submit at the following points in

Other related sources
EIOPA answered Q&As

Figure 3-8: Article page

The Blue box indicates the main attributes of the Article, namely:

- Title (e.g. “Information to be provided for supervisory purposes”)
- Path (e.g. “Title I – Chapter III”)
- Article Number (e.g. “35”)
- Full text of the Article

Please note that with regard to the full text of the Article whenever tables and an excessive number of formulas are included, the main body of the Article might report a link to the EurLex website for further info, due to content type incompatibility.

The red circle reports instead information on resources which relate to the content of the Article. The correlation is always driven by the link with an Article of the Directive. The fullest list of items might include the following drop down lists:

- Article(s) of Delegated Regulation(s) adopted by the European Commission (source: EurLex)
- Implementing Technical Standards (ITS) – Divided year by year - (source: EurLex)
- EIOPA Guidelines (source: EIOPA website)
- EIOPA Opinions (source: EIOPA website)
- EIOPA Recommendations (source: EIOPA website)
- EIOPA Supervisory Statements (source: EIOPA website)
- Q&As on Regulation (source: EIOPA website)

As applicable to all the content published in the EIOPA website, Article pages can be shared via the most relevant social networks, sent to email or printed as PDFs (see Figure 4-8 below):

Share this



Figure 4-8: Share buttons

SEARCH

The Search functionality helps users in finding specific items (articles, guidelines, keywords, ...) or specific articles across the content available in the tool.

More specifically, the user can use the white search box to look for specific or more general keywords and/or sentences and then, if still in the need to refine the search, use the rulebook category or rulebook topic filter to further adjust the search and filter out all the unnecessary items.

The filters are available on the left side of the search page (see Figure 5-8 below).

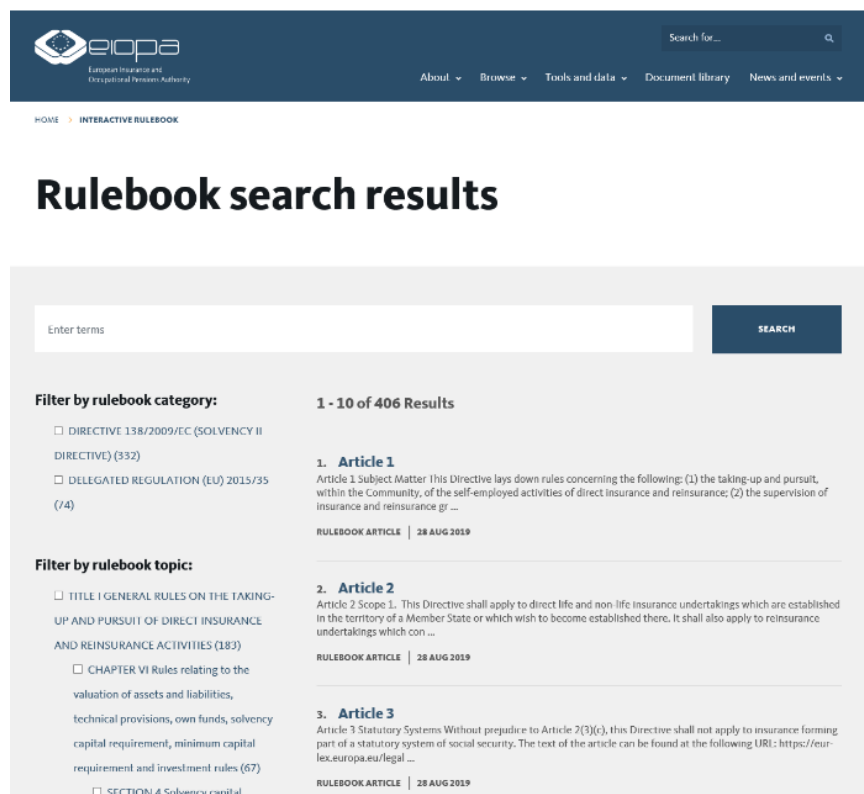


Figure 5-8: Search

Finally, the “Back to Search” button leads back to the general Search page (see figure 6-8 below):



Figure 6-8: Back to Search button

PRINTING

Printing functionalities are available at multiple levels:

- ▶ Regulatory Text (e.g. Directive) – Full text
- ▶ Regulatory Text - Chapter
- ▶ Regulatory Text - Section
- ▶ Regulatory Text - Article

The access to printing functionalities can be found in multiple places, depending on the navigation.

When the user is visiting the table of contents, the printing to PDF button is available on the top right corner (see Figure 7-8 below):

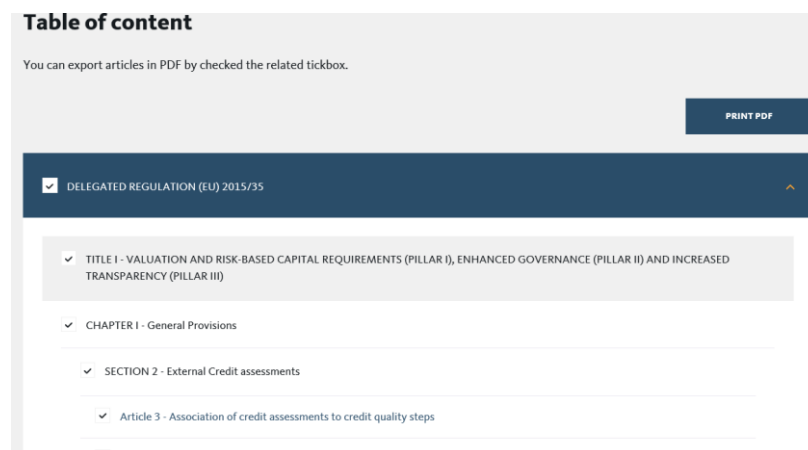


Figure 7-8: Printing (Table of contents view)

When the user is visiting the article page, the print to PDF functionality is available at the bottom of the page (see Figure 8-8 below):

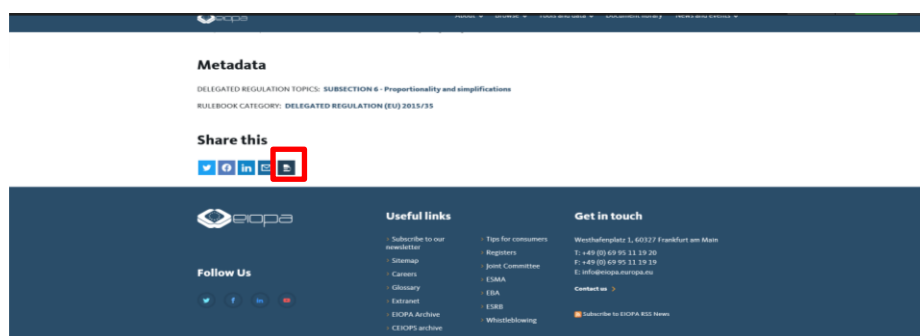


Figure 8-8: Printing (page view)

FEEDBACK COLLECTION

The Solvency II Single Rulebook aims at further promoting the consistent implementation of the regulatory framework for insurance supervision. A one-stop point of access and integrated search function across the various regulatory texts on EIOPA's website will benefit the visibility and improve the understanding of the applicable rules, promoting the European internal market.

To ensure the Rulebook meets users' needs and to secure future updates, EIOPA invites stakeholders to share their experience with the Rulebook.

Comments and suggestions can be sent to rulebook@eiopa.europa.eu.

EIOPA

Westhafen Tower, Westhafenplatz 1

60327 Frankfurt – Germany

Tel. + 49 69-951119-20

info@eiopa.europa.eu

[https:// eiopa.europa.eu](https://eiopa.europa.eu)