



EIOPA-BoS-19-164
7 March 2019

ANNEXES

Decision of the Board of Supervisors on the Register of Institutions of Occupational Retirement Provision (IORPs)

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ANNEX I: DATA

1. Submission of data

1.1. Submission to EIOPA

Directive (EU) 2016/2341¹ stresses that any IORP registered in a national register shall also appear in the EIOPA Register. Care should be taken about the data protection regulation. Equally, the exclusion of IORPs with less than 100 members would continue to exist if applied on a national level.

To support the assessment of completeness with the Decision of the Board of Supervisors on EIOPA's regular information requests towards NCAs regarding provision of occupational pensions information (EIOPA-BoS/18-114) the NCAs shall also report to EIOPA activities of the nationally regulated pension funds not subject to Directive (EU) 2016/2341 (non-IORPs) for which the NCAs intend to provide voluntary information.

For non-IORPs, all information in the subsequent part of this document shall be completed as if the non-IORP was IORP, with the exception of the reporting mode and publication. For non-IORPs, the field "*reporting mode*" must always be '4' and the field "*publication*" must always be '2'.

1.2. "Domestic" operations, main administration and cross-border operations

The IORPs may:

- Operate in the Home country;
- Have only a main administration in Home country;
- Notify the intention to operate in another EEA Member State.

With regard to cross-border activity, a new line is expected for every cross-border activity with a different operation country. If the IORP has only the main administration in the Home country, the Operation country should not be equal to the Home country.

As demonstrated in the below example, the ZZZ pensions IORP has ONLY its main administration located in IE, while the ABC Pensions IORP has both domestic and cross-border activity in IE.

¹ Directive (EU) 2016/2341 of the European Parliament and of the Council of 14 December 2016 on the activities and supervision of institutions for occupational retirement provision (IORPs), OJ L 354, 23.12.2016, p. 37.

Example:

Official Name	Home Country	Operation Country	Cross border status
ABC Pensions	IE	IE	IORP with cross border activity
ABC Pensions	IE	CY	IORP with cross border activity
ZZZ Pensions	IE	MT	IORP with cross border activity

1.3. Registration and Operation Start/End Dates

When reporting it is important to remember that these dates are relevant for reported operations.

Official Name	Home Country	Operation Country	Cross border status	Registration Start Date	Operation Start Date
ABC Pensions	IE	IE	IORP with cross border activity	2005-03-24	2016-08-14
ABC Pensions	IE	DE	IORP with cross border activity	2009-11-04	2015-12-31

2. Publication of data

The data that has successfully passed validations is promoted to the Register (DC&CR) and it is foreseen for publication. There are two separate technical processes ('jobs'):

- ☐ Publication from the Register to the Extranet Restricted Area (Extranet publication). In the Extranet Restricted Area all submitted data is published (irrelevant of the Publication flag). This means that a record with Publication value: 'no' will be published in the Restricted Area.
- ☐ Publication from the Register to the EIOPA website (website publication). On the EIOPA public website only data marked for publication is published. This means that a record with the Publication flag set to 'no' will not be published.

The 'Do not publish' option should be used only for erroneous records, non-IORPs included to assess the completeness with the BoS Decision 18/114 or if

the publication of the data may affect the data protection regulation (family name in the name of the IORP, etc.).

3. Reporting CSV File Structure

CSV will be the format for all the reports that will be submitted from the NCAs (see knowledge sharing area on the Extranet for further information).

3.1. Field description template

The following table presents the template used to describe the CSV fields.

Every entity reported (i.e. every row of the report) will constitute a 'record' inside the report. Every entity/record will be reported in one line of the CSV file. All attributes related to one entity (i.e. every column of the report) will constitute the 'fields' of this entity/record. Values are assigned to attributes. Summarising the above, one record will have many fields and every field will have its own value.

Name	The name of the field
Header	The name of the header in the input file that corresponds to this field
Description	A short description of the field and the information that MUST be filled in by the NCA
Set of possible values	The permitted values of the field. There are 2 cases: 1. The NCA MUST insert one value from a predefined list of values. This list may be either a general/default list (i.e. country codes following a specific ISO format, yes/no values) or a register – oriented list (i.e. the Cross border status values) 2. The NCA MUST insert a free text value in the field
Reporting obligation for Home NCA	The reporting obligation of the Home NCA: 1. <i>Mandatory</i>: the Home NCA submitting the report MUST fill in this field. 2. <i>Optional</i>: the Home NCA submitting the report MAY fill in this field. It is <u>strongly recommended</u> to fill in optional values too, if this value is available. 3. <i>Not applicable</i>: the Home NCA submitting the report MUST NOT fill in this field.
Data Type	The type of the value that will be inserted in the field

Data Format	The format of the value. If no special format is defined, "Not applicable" will be completed in the corresponding table of this field.
Field Length	The maximum length of the value.
Limitations	Any special limitation related to the value that will be inserted in this field. If no special limitation exists for this value, "Not applicable" will be completed in the corresponding table of this field. General limitations for the fields are presented in section " Error! Reference source not found. "
Sample value	An example of the value that is expected to be inserted in the field from the NCA. <i>IMPORTANT NOTE:</i> Values MUST be enclosed in double quotes.
Publication	The reference if the intention is to publish the field or it is for internal reference only.

3.2. Expected fields

A single reporting file is expected from each NCA, including all data of IORPs in the NCA's registry (for full reporting). The same file may include information on IORPs with cross border activity and IORPs with no cross border activity. The expected information is presented in the following sections.

A single reporting file is expected from each NCA, including all data on all IORPs included in the NCA's registry. The expected information is presented in the following sections.



**If a mandatory field is not populated
the record will be rejected by the System**

Name of the field	Reporting Obligation old	Reporting obligation new	Public
Unique Identifier	<i>Not applicable</i>	<i>Not applicable</i>	Internal
Reporting Authority code	Mandatory	Mandatory	Public
LEI	Optional	Optional	Public
Identification code	Mandatory	Mandatory	Public
Official name of the IORP	Mandatory	Mandatory	Public
Home country	Mandatory	Mandatory	Public
EU Country where the entity operates	Mandatory	Mandatory	Public
Type of IORP	Mandatory	Mandatory	Public
Cross border status	Mandatory	Mandatory	Public
Cross border activity		Mandatory	Public

Name of the field	Reporting Obligation old	Reporting obligation new	Public
Reporting mode		Mandatory	Internal
Non-IORP activities		Optional	Internal
Financial year end		Mandatory	Internal
Registration start date	Optional	Mandatory	Public
Registration end date	Optional	Optional	Public
Operation start date		Mandatory	Internal
Operational end date		Optional	Internal
Correction Reason	Optional	Optional	Internal
Publication	Optional	Mandatory	Internal
Head office identification code	Mandatory		
International name of the IORP	Mandatory		
Street name	Mandatory	Optional	Public
Street number	Optional	Optional	Public
Post Code	Mandatory	Optional	Public
City	Mandatory	Optional	Public
County	Optional		
Website address	Optional	Optional	Public
Telephone number	Optional		
E-mail address	Optional		
Previous identification code	Optional		
Validity start date	<i>Not applicable</i>		
Validity end date	<i>Not applicable</i>		
Legal name of the managing entity	Optional	Optional	Public
Address of the managing entity	Optional	Optional	Public

3.3. List of fields

3.3.1. Unique Identifier

Name	Unique Identifier
Header	IORPS_UniqueID
Description	The Unique Identifier of the entity, as produced by the DC&CR system and published by EIOPA. This field MUST NOT be populated by the NCAs. <i>Special Note 1:</i> For home reporting, unique identifier will only become known to Home NCAs after the first publication using the DC&CR

	system. <i>Special Note 2:</i> For home reporting, a value MAY be provided by the Home NCAs, but it will be ignored. <i>Special Note 3:</i> For host reporting not containing this value, no comparison to the register data will take place. The Unique Identifier will only become known to Host NCAs after the first publication using the DC&CR system. Until then, every report sent on a Host basis will be ignored by the DC&CR system.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 60 characters
Limitations	Not applicable
Sample value	"DEATI353621"
Publication	Internal

3.3.2. Reporting authority code

Name	Reporting Authority Code
Header	IORPS_ReportingAuthorityCode
Description	The code of the National Competent Authority (NCA) that submits to EIOPA reports regarding the entities included in its registry, as stored in DC&CR system. <i>Special Note 1:</i> EIOPA will provide the Reporting Authority codes to every NCA. <i>Special Note 2:</i> Reporting Authority Code value must be equal to the 'SenderCode' value of the filename (in case of EIOPA Hub submissions, refer also to [R1]) or must be equal to the NCA Code info in the EIOPA Web Portal Restricted Area (in case of submissions through Portal).
Set of possible values	NCA codes to be defined by EIOPA
Reporting obligation for Home NCA	Mandatory
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Exactly 5 characters

Limitations	Not applicable
Sample value	"AT001", "GR002"
Publication	Public

3.3.3. LEI

Name	LEI
Header	IORPS_LEI
Description	The Legal Entity Identifier (LEI) of the entity under report (if available). <i>Special Note:</i> In case LEI is not available, the Interim entity identifier (Pre-LEI) MUST be completed instead.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Exactly 20 characters
Limitations	Not applicable
Sample value	"12345678901234567890"
Publication	Public

3.3.4. Identification Code

Name	Identification code
Header	IORPS_IDCode
Description	The reference number that is used by the Home NCA for the entity under report, or if the LEI code is available, this number should be equal to the LEI code.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Mandatory
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 50 characters
Limitations	Not applicable
Sample value	"354A26"
Publication	Public

3.3.5. Official name of the entity

Name	Official name of the entity
Header	IORPS_OfficialName
Description	The Official name(s) of the entity in the language and character set(s) as recorded in the business registry, or with the fund manager for collective investment vehicles, or otherwise in the most basic foundational documents of the entity. In other words, this field contains the name of the IORP. <i>Special Note 1:</i> Where multiple languages or character sets apply at this level, all such names MUST be provided with " " used as separator. <i>Special Note 2:</i> It refers to the name of an IORP for the register of IORPs.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Mandatory
Data Type	Alphanumeric
Data Format	<i>Special Note:</i> In case of multiple official names, they MUST be separated by ' ' symbol.
Field Length	Maximum 1000 characters
Limitations	Not applicable
Sample value	"Allianz Insurance PLC Assurances Allianz"
Publication	Public

3.3.6. Home country

Name	Home country
Header	IORPS_HomeCountry
Description	The 2-letter code of the EEA country in which the registered Head office of the entity is located.
Set of possible values	ISO 3166-1 alpha-2 EEA country code
Reporting obligation for Home NCA	Mandatory
Data Type	Alphanumeric
Data Format	The 2-letter code MUST be in uppercase
Field Length	Exactly two letters
Limitations	<i>Special Note 1:</i> For the country Greece, the code EL MUST be used instead of the ISO 3166 alpha-2 codes GR, as recommended by the Publications Office of the European Union (R6).
Sample value	"PL"

Publication	Public after conversion to full name.
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3.3.7. EU Country where the entity operates

Name	EU Country where the entity operates
Header	IORPS_EUOperationCountry
Description	The 2-letter code of the EU or EEA country where the entity under report operates.
Set of possible values	ISO 3166-1 alpha-2 EEA country code
Reporting obligation for Home NCA	Mandatory
Data Type	Alphanumeric
Data Format	The 2-letter code MUST be in uppercase
Field Length	Exactly two letters
Limitations	<i>Special Note 1:</i> For the country Greece, the code EL MUST be used instead of the ISO 3166 alpha-2 codes GR, as recommended by the Publications Office of the European Union (R6).
Sample value	"DE"
Publication	Public after conversion to full name.

3.3.8. Type of IORP

Name	Type of IORP
Header	IORPS_IORPType
Description	Indication if the reported entity is IORP or article 4 IORP. <i>Special Note:</i> IORP means Institution for occupational retirement provision and Article. 4 IORP means the occupational retirement provision business of life insurance undertakings covered by article 4 of Directive (EU) 2016/2341
Set of possible values	1 (for IORP) 2 (for article 4 IORP)
Reporting obligation for Home NCA	Mandatory
Data Type	Number
Data Format	Not applicable
Field Length	1 digit
Limitations	Not applicable

Sample value	"1"
Publication	Public after conversion to full name.

3.3.9. Cross border status

Name	Cross border status
Header	CrossBorderStatus
Description	The cross border status of the entity. The cross-border status of the IORP. 'Cross-border activity' means operating a pension scheme where: (i) the relationship between the sponsoring undertaking, and the members and beneficiaries concerned, or (ii) the accrued pension rights of the members and beneficiaries when there is no sponsoring undertaking anymore, are governed by the social and labour law relevant to the field of occupational pension schemes of a Member State other than the home Member State <i>Special Note:</i> For the register of IORPs ONLY values "5" or "6" are expected (values 1-4 apply ONLY to the Insurance Undertakings register).
Set of possible values	1 (for Domestic undertaking) 2 (for 3rd country branch) 3 (for EEA branch) 4 (for EEA FPS) 5 (for IORP with cross border activity) 6 (for IORP with no cross border activity)
Reporting obligation for Home NCA	Mandatory
Data Type	Number
Data Format	Not applicable
Field Length	1 digit
Limitations	Not applicable
Sample value	"5"
Publication	Public after conversion to full name

3.3.10. Cross-border activity

Name	Cross border activity
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Header	IORPS_CrossBorderActivity
Description	The BoS Decision 18/230 paragraph 1.3.5 and Directive (EU) 2016/2341 Art. 11 and 12 set forward that EIOPA should be informed if assets and liabilities are transferred to a cross-border IORP. As such the NCA should identify here if a cross-border IORP is active or inactive: • Active: Registered or authorised cross-border IORPs which have finalized the notification procedure and hold assets and liabilities relating to their cross border activity. • Inactive: Registered or authorized cross-border IORPs which have finalized the notification procedure but do not hold any assets and liabilities related to their cross-border activity.
Possible values	1 (for cross-border IORPs with are Active in the reported EU Country where the IORP operates) 2 (for cross-border IORPs which are Inactive in the reported EU Country where the IORP operates)
Reporting obligation for home NCA	Mandatory (for cross-border IORPs)
Data Type	Number
Data Format	Not applicable
Field Length	1 digit
Sample value	"1"
Publication	Public after conversion in full name

3.3.11. IORP Reporting Mode

Name	Reporting Mode
Header	IORPS_ReportingMode
Description	This field should put forward how the pension data will be reported in line with BoS Decision (EIOPA-BoS/18-114).
Possible values	1 (for Mandatory Individual reporting or all IORPs from the NCA included in individual reporting) 2 (for Inclusion in Aggregated Templates – can also be included in individual data on a voluntary basis) 3 (for Inclusion in Exempted Templates – can also

	be included in individual data on a voluntary basis) 4 (for voluntary reporting of nationally regulated pension funds that are not regulated by Directive (EU) 2016/2341 ('non-IORPs') to EIOPA in the context of BoS Decision EIOPA-BoS/18-114)
Reporting obligation for home NCA	Mandatory
Data Type	Number
Data Format	Not applicable
Field Length	1 digit
Sample value	"3"
Publication	Internal

3.3.12. Non-IORP Activities

Name	Non-IORP Activities
Header	IORPS_Non-IORP Activities
Description	This field is a flag whether the IORP reports to EIOPA its social security or personal pension activities
Possible values	0 – No social security or personal pension activities managed by the IORP 1 – Social security or personal pension activities managed by the IORP and these will be reported in the context of BoS Decision EIOPA-BoS/18-114) 2 – Social security or personal pension activities managed by the IORP and these will not be reported in the context of BoS Decision EIOPA-BoS/18-114)
Reporting obligation for the home NCA	Optional
Data Type	Number
Data Format	Not applicable
Field Length	1 digit
Sample value	"0"
Publication	Internal

3.3.13. Financial Year End Date

Name	FYE
Header	IORPS_FYE

Description	A financial year end date of the IORP.
Possible values	Not applicable
Reporting obligation for the home NCA	Mandatory
Data Type	Date
Data Format	MM-DD where the components of the above string are as follows: • MM is the month (01 = January, ..., 12 = December) • DD is the day of the month (01 = first day of the month)
Field Length	Exactly five characters
Sample value	"12-31"
Publication	Internal

3.3.14. Registration start date

Name	Registration start date
Header	IORPS_RegistrationStartDate
Description	A registration or authorisation date of the IORP under report in accordance with Directive (EU) 2016/2341. If the above is not available then the NCA should use value: "1900-01-01".
Set of possible values	Not applicable
Reporting obligation for Home NCA	Mandatory
Data Type	Date
Data Format	YYYY-MM-DD where the components of the above string are as follows: • YYYY is the year • MM is the month (01 = January, ..., 12 = December) • DD is the day of the month (01 = first day of the month)
Field Length	Exactly ten characters
Limitations	<u>Special Note 1</u> : The value MUST be specified in UTC time zone <u>Special Note 2</u> : Registration start date MUST be prior to the Registration end date.
Sample value	"2011-12-31"

Publication	Public
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3.3.15. Registration end date

Name	Registration end date
Header	IORPS_RegistrationEndDate
Description	The constitutive date when the entity was withdrawn from the business register.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Date
Data Format	YYYY-MM-DD where the components of the above string are as follows: • YYYY is the year • MM is the month (01 = January, ..., 12 = December) • DD is the day of the month (01 = first day of the month)
Field Length	Exactly ten characters
Limitations	<i>Special Note 1:</i> The value MUST be specified in UTC time zone <i>Special Note 2:</i> Registration end date MUST be a subsequent date to the Registration start date. <i>Special Note 3:</i> Registration end date MUST be past or equal to the submission date of the report. This applies only for normal reports.
Sample value	"2012-12-31"
Publication	Public

3.3.16. Operation start date

Name	Operation start date
Header	IORPS_OperationStartDate
Description	A date of begin of operations of the IORP under report that may be different than the registration start date. If the IORP is registered but has not started to operate yet and the exact date is unknown, the value could be '1900-12-31' or completed by the use of another proxy.
Set of possible values	Not applicable

Reporting obligation for Home NCA	Mandatory
Data Type	Date
Data Format	YYYY-MM-DD where the components of the above string are as follows: • YYYY is the year • MM is the month (01 = January, ..., 12 = December) • DD is the day of the month (01 = first day of the month)
Field Length	Exactly ten characters
Limitations	<u>Special Note 1</u> : The value MUST be specified in UTC time zone <u>Special Note 2</u> : Operation Start Date must be prior to the Operation End Date.
Sample value	"2012-12-31"
Publication	Internal

3.3.17. Operation end date

Name	Operation end date
Header	IORPS_OperationEndDate
Description	A date of end of operations of the IORP under report that may be different than the registration end date.
Set of possible values	Non applicable
Reporting obligation for Home NCA	Optional
Data Type	Date
Data Format	YYYY-MM-DD where the components of the above string are as follows: • YYYY is the year • MM is the month (01 = January, ..., 12 = December) • DD is the day of the month (01 = first day of the month)
Field Length	Exactly ten characters
Limitations	<u>Special Note 1</u> : The value MUST be specified in UTC time zone <u>Special Note 2</u> : Operation end date MUST be a subsequent date to the Operation start date.
Sample value	"2012-12-31"

Publication	Internal
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3.3.18. Correction Reason

Name	Correction Reason
Header	IORPS_CorrectionReason
Description	The reason the correction report is submitted from the NCAs regarding the particular entry. <u>Special Note 1:</u> The correction reason MUST be provided in corrective reports. <u>Special Note 2:</u> For normal reports, the correction reason MAY be provided, and it will not be disregarded by the system, i.e. if it is populated in the Normal report file, it will be stored in the Central Repository database. <u>Special Note 3:</u> The correction reason is a free text field, namely no predefined values apply for this field. <u>Special Note 4:</u> The correction will help EIOPA and NCAs to track the reason the data was corrected/updated.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional (mandatory only for corrective reports)
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 2000 characters
Limitations	Not applicable
Sample value	"The Street name value was not valid in the report sent on 12/3/2012"
Publication	Internal

3.3.19. Publication

Name	Publication
Header	IORPS_Publication
Description	If the value is set to "<i>Publish</i>", or the field is empty, then the entry will be published to the Public Area. If the value is set to "<i>Do not publish</i>", then the entry will not be published in the Public Area. This can be completed by NCAs for example if an IORP will be included in the BoS Decision (EIOPA-BoS/18-114) on IORP information but is not

	included in the national register or if the NCA fears inconsistency with the data protection for example because of the name reference in a scheme.
Possible values	1 (Publish) 2 (Do not publish)
Reporting obligation for home NCA	Mandatory
Data Type	Number
Data Format	Not applicable
Field Length	1 digit
Sample value	"2"
Publication	Internal

3.3.20. Street name

Name	Street name
Header	IORPS_StreetName
Description	The street name where the headquarters of the legal entity (financial institution) are located. <i>Special Note 1:</i> Where there is no legally defined headquarters address, the street name derived from the physical address recorded by the NCA. <i>Special Note 2:</i> For those IORPs where this information does not exist (but only for their Managing entities), the NCAs SHOULD provide herein the value "N/A" and they SHOULD also fill in the field 'Address of the managing entity' for their corresponding Managing entities.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 100 characters
Limitations	Not applicable
Sample value	"Lexington road"
Publication	Public

3.3.21. Street number

Name	Street number
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Header	IORPS_StreetNumber
Description	The street number where the headquarters of the legal entity (financial institution) are located. <i>Special Note 1:</i> Where there is no legally defined headquarters address, the street number derived from the physical address recorded by the NCA. <i>Special Note 2:</i> For those IORPs where this information does not exist (but only for their Managing entities), the NCAs SHOULD fill in the field 'Address of the managing entity' for their corresponding Managing entities.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 50 characters
Limitations	Not applicable
Sample value	"14", "123 - 124", "A12"
Publication	Public

3.3.22. Post Code

Name	Post Code
Header	IORPS_PostCode
Description	The post code where the headquarters of the legal entity (financial institution) are located. <i>Special Note 1:</i> Where there is no legally defined headquarters address, the post code derived from the physical address recorded by the NCA. <i>Special Note 2:</i> For those IORPs where this information does not exist (but only for their Managing entities), the NCAs SHOULD provide herein the value "N/A" and they SHOULD also fill in the field 'Address of the managing entity' for their corresponding Managing entities.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 20 characters
Limitations	Not applicable

Sample value	"SW123"
Publication	Public

3.3.23. City

Name	City
Header	IORPS_City
Description	The city where the headquarters of the legal entity (financial institution) are located. <i>Special Note 1:</i> Where there is no legally defined headquarters address, the city derived from the physical address recorded by the NCA. <i>Special Note 2:</i> For those IORPs where this information does not exist (but only for their Managing entities), the NCAs SHOULD provide herein the value "N/A" and they SHOULD also fill in the field 'Address of the managing entity' for their corresponding Managing entities.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 50 characters
Limitations	Not applicable
Sample value	"Mönchengladbach"
Publication	Public

3.3.24. Website address

Name	Website address
Header	IORPS_WebsiteAddress
Description	The website address (URL) of the institution.
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 500 characters
Limitations	NCAs are recommended to exclude the http:// part from the value of this field
Sample value	" www.name.com "

Publication	Public
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3.3.25. Legal name of the managing entity

Name	Legal name of the managing entity
Header	IORPS_ManagingEntityLegalName
Description	The official name of the legal entity managing the institution, as recorded in the business registry, or with the fund manager for collective investment vehicles or otherwise in the entity's constituting documents. <u>Special Note:</u> This field is mandatory only for the following MS: -Portugal -Spain -Italy where pension fund managing entities can be either pension fund managing companies or life insurance companies
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	<u>Special Note:</u> In case of multiple legal names, they MUST be separated by ' ' symbol.
Field Length	Maximum 1000 characters
Limitations	Not applicable
Sample value	"Allianz Insurance PLC Assurances Allianz"
Publication	Public

3.3.26. Address of the managing entity

Name	Address of the managing entity
Header	IORPS_ManagingEntityAddress
Description	The address of the headquarters of the legal entity managing the IORP. The address of legal formation will invariably be a single address captured on a company registration documents and therefore unambiguous. This is not the case for other addresses; any single organisation can have multiple valid operating addresses which could be considered a headquarters' address. <u>Special Note:</u> This field is mandatory only for the

	following MS: -Portugal -Spain -Italy where pension fund managing entities can be either pension fund managing companies or life insurance companies
Set of possible values	Not applicable
Reporting obligation for Home NCA	Optional
Data Type	Alphanumeric
Data Format	Not applicable
Field Length	Maximum 500 characters
Limitations	Not applicable
Sample value	"Vicarage Road 2 TW20 9JZ Liverpool Merseyside"
Release availability	Public

ANNEX II: VALIDATIONS

1. List of Data Profiling validations

1. The input CSV file must be readable and follow exactly the pre-defined structure for the IORPs input files.
 1. All mandatory fields must be provided.
 2. The "Reporting Authority Code" value must be equal to the SenderCode of the filename (in case of EIOPA Hub submissions) or must be equal to the NCA Code info in the Restricted Area of the EIOPA Web Portal (in case of submissions through Portal).
 3. LEI must have the following format: alphanumeric value of 20 characters length of the form "^LEI/[A-Z0-9]{20}\$"
 4. The "EUOperationCountry" must be an uppercase 2-letter code included in the list of ISO 3166-1 alpha-2 country codes and must correspond to an EEA country.
 5. The "HomeCountry" must be an uppercase 2-letter code included in the list of ISO 3166-1 alpha-2 country codes.
 6. "Type of IORP" value must be within following number range: [1,2].
 7. "CrossBorderStatus" value must be within following number range: [5,6].
 8. "Cross-border activity" value must be within following number range: [1,2].
 9. "Reporting mode" value must be within following number range: [1,2,3,4].
 10. "Non-IORP Activities" value must be within following number range: [0,1,2].
 11. "RegistrationStartDate" must have the following format: YYYY-MM-DD
 12. "RegistrationEndDate" must have the following format: YYYY-MM-DD (this date cannot be earlier than 1/1/1900)
 13. "FinancialYearEndDate" must have the following format: MM-DD
 14. "Publication" value must be within following number range: [1,2].
15. There must not be more than one entry within the same report file which has identical values in the fields that constitute the unique identifier (Id code, Home country - EU Operation Country - Cross Border Status).

2. List of Business Validations

ReportingAuthorityCode

Value **must be equal** to one of the values specified in the [List of NCAs codes](#).

CrossBorderStatus

If IORPs_CrossBorderStatus = 6 then the country of "Reporting Authority Code" and the "Home Country" and the "EU Country where the undertaking operates" must be similar.

Cross-border activity

- If IORPS_CrossBorderStatus = 5 then IORPS_CrossBorderActivity should not be empty
- If IORPS_CrossBorderStatus = 6 then IORPS_CrossBorderActivity should be empty

IORPs Reportingmode

If IORPs_Reportingmode = 4 then "Publication" = 2.

RegistrationStartDate

Registration Start Date must precede the Registration End Date if registration end date is completed

CorrectionReason

"Correction reason" MUST be completed in the corrective reports

OperationStartDate/OperationendDate

"Operation Start Date must precede the Operation End Date if Operation End Date is completed

UniqueID

There must not be more than one entries within the same report file which have identical values in the fields that constitute the Unique Identifier and if a LEI is provided, the Unique ID should be equal to the LEI.

Corrective Report
Correcting data files must not have any missing periods